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PTO SB 05 (12-97)
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JC685 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new, nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

40447

Total Pages

92

First Named Inventor or Application Identifier

Richard O. Snyder et al.

Express Mail Label No

958315/60
U.S. PTO

05/22/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☒ **Fee Transmittal Form**
(Submit an original and a duplicate for fee processing)
- 2 ☒ **Specification** [Total Pages **51**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3 ☒ **Drawing(s) (35 USC 113)** [Total Sheets **11**]
- 4 **Oath or Declaration** [Total Pages]
 - a ☐ Newly executed (original or copy)
 - b ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - c ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5 ☒ **Incorporation By Reference** (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6 ☐ Microfiche Computer Program (Appendix)
- 7 **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a ☐ Computer Readable Copy
 - b ☒ Paper Copy (identical to computer copy)
(Copy from Parent Appl.)
 - c ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8 ☒ **Assignment Papers** (cover sheet & document(s))
(Copy from Parent Appl.)
- 9 ☐ **37 CFR 3.73(b) Statement** (when there is an assignee) ☒ **Power of Attorney**
(Copy from Parent Appl.)
- 10 ☐ **English Translation Document** (if applicable)
- 11 ☐ **Information Disclosure Statement (IDS)/PTO-1449** ☐ **Copies of IDS Citations**
- 12 ☒ **Preliminary Amendment** (Copy from Parent Appl.)
- 13 ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
- 14 ☐ **Small Entity Statement(s)** ☒ **Statement filed in prior application.**
Status still proper and desired
- 15 ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
- 16 ☐ **Other:**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No **08/882,044**

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL FORM

Attorney Docket No.: **40447**

ASSISTANT COMMISSIONER OF PATENTS

BOX: PATENT APPLICATION

Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **Richard O. Snyder; Mark A. Kay; Olivier Danos;
Arthur R. Thompson and Lawrence K. Cohen**

For: **ADENO-ASSOCIATED VIRAL VECTOR-MEDIATED DELIVERY
OF DNA TO CELLS OF THE LIVER**

Kindly cancel without prejudice claims 2, 3, 5, 6, 8-14, 17-19, 21-25, 28-30, 33-35, 37, 38, 40-42, 44-47, 49-53, 55 and 56.

The filing fee has been calculated as follows:

				SMALL ENTITY		OR OR	OTHER THAN A SMALL ENTITY	
(Col. 1)		(Col. 2)		RATE	FEE		RATE	FEE
FOR:	NO. FILED		NO. EXTRA					
BASIC FEE					\$345.00			\$690.00
TOTAL	15	- 20=	0	36 x 9 =			x 18 =	\$
INDEP	7	- 3=	4	4 x 39 =	\$156.00		x 78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				+ 130 =	\$		+ 260 =	\$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTAL	\$501.00		TOTAL	\$

- ☐ This application is being filed without oath or declaration and without the filing fee pursuant to 37 C.F.R. §1.53(f).
- ☐ Applicant wishes to defer the filing fee of the application in accordance with 37 C.F.R. § 1.53(f).
- ☒ A check in the amount of **\$501.00** cover the above calculated filing fee and ☐ \$40.00 to cover the Assignment recording fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

The Examiner is requested to obtain and to use the CRF of the Sequence Listing from parent application U.S. Ser. No. 882,044. The content of that CFR and the copy of the paper copy of the Sequence Listing filed herewith are identical.

Dated: 11 May 2000

[Signature]
Dean H. Nakamura
Attorney of Record
Reg. No. 33,981

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